

## **Confirmation of Customer Due Diligence** **客戶盡職審查確認信**

To: Lighthouse Capital (HK) Financial Limited (“Lighthouse”)  
致: 光源資本(香港)金融有限公司 (“光源金融”)  
Units 1801-2, 18/F, Hollywood Centre,  
233 Hollywood Road, Sheung Wan, Hong Kong  
香港上環荷李活道 233 號荷李活商業中心 18 樓 1801-2 室  
(CE No.: 中央編號: BHX373)

Date 日期 :

This confirmation was provided by the undersigned as at the date hereof for the purpose of assisting Lighthouse to fulfill “Lighthouse’s Customer Due Diligence” duty pursuant to the relevant provisions in the Guideline on “Anti-Money Laundering and Counter-Terrorist Financing” April 2012 published by Securities and Futures Commission of Hong Kong (the “SFC”).

此確認書是根據 2012 年 4 月由證券及期貨事務監察委員會(「證監會」)所發出的指引關於《防止洗黑錢及恐怖分子籌集活動的指引》中規定，從而制定此確認信以協助“光源金融”完成對“光源金融客戶之盡職審查”。

The undersigned hereby confirmed that:  
下列簽署人特此確認：

- (a) I/We are not linked to politically exposed person / terrorist;  
本人(等)/我司並沒有連結到政治上的恐怖組織分子;
- (b) The nature of my/our business are not susceptible to money laundering risk;  
本人(等)/我司的業務性質是不易受到洗黑錢的風險所影響;
- (c) Any funds from my/our account are not arising from and/or related to any proceeds of crime;  
and  
一切有關本人(等)/我司帳戶內的金錢及有關收益，並不是從犯罪所獲取；和

I/ We agree to provide further information if and when required by the SFC or under any laws, regulations, code of conduct, rules or guidelines published by the SFC from time to time.  
本人(等)/我司同意提供進一步等資料，以配合由香港法律及證監會所發出的法規、行為守則、條例或規則進行有關審查。

\_\_\_\_\_  
Client Signature 客戶簽署

Client's Name 客戶姓名 : \_\_\_\_\_

Client's A/C No. 客戶號碼 : \_\_\_\_\_