

# Lighthouse Capital (HK) Financial Limited

## 光源資本(香港)金融有限公司

### 證券交收指示(S.I.) / 投資者交收指示 (ISI) Securities Settlement Instruction (S.I.) / Investor Settlement Instruction (I.S.I.)

致：光源資本(香港)金融有限公司

To：Lighthouse Capital (HK) Financial Limited

日期 Date: \_\_\_\_\_

Tel：3622-3200 Fax：3583-3700

客戶名稱 Account Name：\_\_\_\_\_ 客戶帳號 Account No.：\_\_\_\_\_

<input type="checkbox"/> S.I.	請將下列證券收到本人/吾等上述證券帳戶
<input type="checkbox"/> I.S.I.	Please receive the following securities for my/our above securities account
<input type="checkbox"/> S.I.	請從本人/吾等上述證券帳戶交付證券
<input type="checkbox"/> I.S.I.	Please deliver the following securities from my/our above securities account

並將下列交收指示於(日期)\_\_\_\_\_輸入中央結算系統，以便進行交收  
and input the following Settlement Instruction (SI) into CCASS to effect settlement on (date \_\_\_\_\_)

#### 交收指示資料 Details for Settlement Instruction

交收公司名稱 Name of Counter-party	中央結算編號 CCASS ID
聯絡人姓名 Contact Person	聯絡人電話 Phone No.
客戶在交收公司的帳戶號碼 Client Account No. in Counterparty	
收受人名稱 Beneficiary Name	

有關股票資料如下 Details of share(s) \*請在空格上加 X (只適用於交收指示或投資者交收指示), Please put a cross in the box (for SI/ISI only), where DVP = 貨銀對付 Delivery Versus Payment, FOP = 無需付款 Free of payment

股票編號 Stock Code	股票名稱 Stock Name	股數 Quantity	付款方式 Method of payment*		銀碼 Amount (HKD)
			DVP	FOP	
			DVP	FOP	
			DVP	FOP	
			DVP	FOP	
			DVP	FOP	

Kindly debit to my / our accounts for any charges withheld.  
如有任何費用，請在 本人/吾等之戶口內扣除。

#### For Official Use Only 公司專用

Signature Verify	Approved	CCASS Input	CCASS Authorised
Tran. Code - Stock		System Input	System Authorised
Tran. Code - Charges		System Input	System Authorised
Tran. Code - DVP / RDP		System Input	System Authorised

客戶簽署 Client's Signature \_\_\_\_\_